comitFS

REGULATION & COMPLIANCE

www.comitfs.com

Market Challenges

> Achieving regulatory compliance while enabling employees to leverage the communication channels and practices of modern flexible work environments.

> The challenge of large amounts of data in vendor controlled systems which also need to be in line with new technology and regulatory requirements.

> Firms not only need to be able to meet compliance but also be able to demonstrate they are.

Overview

Following the implementation of MiFID II, the markets were faced with stricter regulation and consequently, the need for increased transparency. One aspect of the regulation ensures that regulators have greater insight into the day-to-day goings on of trading floors – helping to spot irregularities and identify market abuses early. The CAS solution helps organisations to achieve this by normalising the, often overlooked voice communication, connecting all systems together to create a single normalised data record.

Benefits

Automation

Regulatory and compliance requirements are becoming more stringent. We have developed a range of automation tools to help ensure that tests are completed and systems are operational - that the banks are regulatory compliant and the systems are Ready For Business.

Innovation

Innovation is central to what we do - from our CAS platform to our lead role in setting up the ComChain Alliance. By creating future-proof solutions we can ensure that banks remain compliant with new regulation.

Costs

Our ComChain alliance has contributed in defining a standard for the Configuration, Capture and Access of communication data, as well as ensuring firms remain compliant as technology continues to advance.

Services

We provide a range of services that enable banks to meet the challenges of increasing regulation. Through Forums and Alliances, banks can leverage common working practices enabling them to place greater focus on using the data they collect.

---

comitFS

+44 (0) 207 100 1498

info@comitfs.com

Capital House, 42 Weston Street, London, SE1 3QD

comitFS developed the leading-edge "Communication Application Server" (CAS) middleware specifically to solve the complex challenges of trader communication. CAS is the only middleware product that can connect to all available trader voice and telephony systems with a single API - improving control and management in financial markets. The solution also drives transformation and strong adaptation of CRM systems which enables better decision making and integration with clients.

Communication & Collaboration

The CAS bridges the communication gaps for traders by providing a streamlined, simplified and efficient solution to improve visibility, reduce cost and increase productivity.

Regulation & Compliance

Stricter regulation means that firms must be able to leverage communication channels and practices of modern flexible work environments and ensure huge amounts of data are compliant.

Refresh & Transformation

Digital Transformation can result in risk, increased cost and resource challenges. Our CAS solution is a middleware that allows firms to achieve Digital Transformation without taking a 'rip and replace' approach.