Bloomberg

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comitFS CAS-For Bloomberg is an extension of the comitFS Compliance Service. This solution has been designed to extract voice recordings stored on popular recording systems and pass them to the Bloomberg Vault service for ingestion and storage using the File Transfer Protocol.
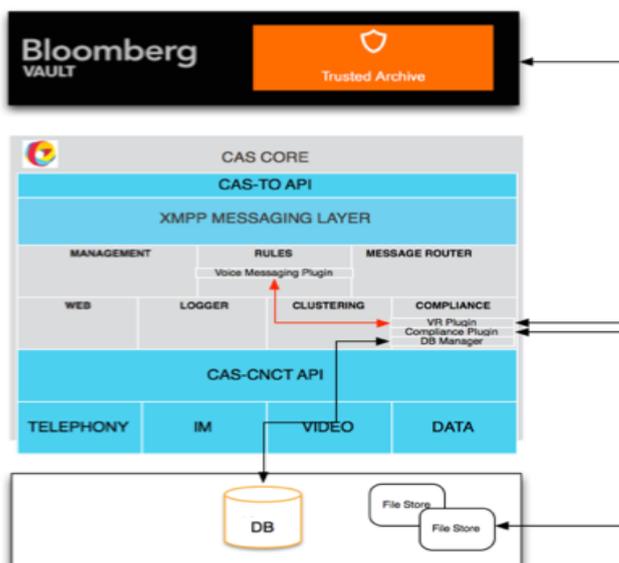

Banks In preparation for registration as a US and non-US swaps dealer have conducted an internal audit of its trade reconstruction capabilities. The findings often highlight the need to meet the compliance obligations under DFA and to satisfy the FCA regulated swaps trader dealer in relation to pre-trade reconstruction.

The adopted solution was to build a consolidated record keeping archive satisfying a superset of DFA and FCA requirements using Bloomberg Vault, which will link communications records to the related counterparty and to swaps thus minimizing the number of potential records that require review.

The CAS service extracts the Meta-data Call Data Records (CDR) from the Database and the Voice Files (VOX) from the directory on the Recorder. The CDR and VOX files are sent temporally to the CAS Compliance Service. These files are then periodically exported to the Bloomberg storage through the use of File Transfer Protocols.

comitFS supply the infrastructure, which includes the CAS Server and associated CAS software plugins for transferring desktop voice journal data to Bloomberg Vault for regulated users, globally. Instances of the CAS Compliance Service are installed per site from which Voice Data is to be ingested. The service satisfies security requirements for internal and public data during transfer and storage outside of the organisation.

Physical appliances are installed in data centers per discreet recording systems, in the case of the CAS Compliance Server failing, spare appliances can be stocked in regional offices, which can be deployed in 24 hours.